## BEST AVAILABLE COPY

PΑ	TENT	<b>COOPE</b>	RATIO	N TR	EA'

PATENT COOPER	RATION TREAT	Chocket Entry	
From the INTERNATIONAL SEARCHING AUTHORITY	Te i APR 2005	☐ Docket Cross Off ☐ Previously Emered ☐ No Docketing Fleq	
To: SONIA K. GUTERMAN MINTZ, LEVIN, COHN, FERRIS GLOVSKY AND POPEO, P.C. ONE FINANCIAL CENTER BOSTON, MA 02111	INVITATION TO PAY ADDITIONAL FEES  (PCT Article 17(3)(a) and Rule 40.1)  Date of Mailing (day/month/year) 11 November 2004 (11.11.2004)		
Applicant's or agent's file reference	PAYMENT DUE		
24492-011	within 15 days from the above date of mailing		
International application No. PCT/US03/33595	International filing date (day/month/year) 22 October 2003 (22.10.2003)		
Applicant WARATAH PHARMACEUTICALS, INC.			
This International Searching Authority			
(i) considers that there are 83 (number of) inventions clair claims indicated below/on an extra sheet:  Please See Continuation Sheet  and it considers that the international application does (Rules 13.1, 13.2 and 13.3) for the reasons indicated below Please See Continuation Sheet	not comply with the require		
(ii) has carried out a partial international search (see on those parts of the international application which related, 14,16-20,24-26,45,48,50-71,91-98, 101-103 and 110	Annex) will establish with the to the invention first mention		
(iii) will establish the international search report on the othe to which, additional fees are paid.	er parts of the international app	plication only if, and to the extent	
2. The applicant is hereby invited, within the time limit indicate	ted above, to pay the amount i	ndicated below:	
\$210.00 X 82  Fee additional per invention umber of additional invention	= \$17,220.00 total amount of add	litional fees	
The applicant is informed that, according to Rule 40.2(c), the i.e., a reasoned statement to the effect that the international applicant the amount of the required additional fee is excessive.	payment of any additional fe plication complies with the rec	e may be made under protest, quirement of unity of inventors	
3. Claim(s) Nos. 77-86,90,99,100 and 105-107 have been fo Article 17(2)(b) because of defects under Article 17(2)(a)		DEC 0 2 2004  ncluded with any invention.  MINTZ LEVIN, BOSTO  DATE NT/DOCKET DES	
Name and mailing address of the ISA/US	Authorized (pfficer )	PATENTOUR	

Mail Stop PCT, Attn: ISA/US Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
Facsimile No. (703) 305-3230

Form PCT/ISA/206 (July 1992)

Telephone No. 571-272-2877

## ST AVAILABLE COPY

## INVITATION TO PAY ADDITIONAL FEES

pplication No. Internation PCT/US03/33595

This International Search Authority has found 83 inventions claimed in the International Application covered by the claims indicated below:

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, claims 1-4, 7-10, 12-14, 16-20, 24-26, 45, 48, 50-71, 91-98, 101-103, and 110, in so far as they are drawn to a method for treating diabetes, or a method of inducing pancreatic islet neogenesis, with a gastrin/CCK receptor ligand that is gastrin-17, a FACGINT that is GLP-1; and compositions and kits thereof.

Group II, claims 5-11, 15, and 24-26, in so far as they are drawn to a method for treating diabetes comprising ex vivo cell treatment with a gastrin/CCK receptor ligand that is gastrin-17 and a FACGINT that is GLP-1, and an immunosuppressive drug that is rapamycin, followed by administration to a mammal.

Group III, 21-31 and 108, in so far as they are drawn to a method for expanding and differentiating stem cells into insulin secreting cells in a diabetic recipient of implanted cells, or a method of expanding a functional cell mass of pancreatic islet transplants in a diabetic recipient of purified islets, comprising implanting stem cells and administering a composition comprising a gastrin/CCK receptor ligand that is gastrin-17 and a FACGINT that is GLP-1.

Group IV, 32-45, in so far as they are drawn to a method for reducing an amount of stem cells needed for transplantation to treat human diabetes, comprising administering a gastrin/CCK receptor ligand that is gastrin-17, a FACGINT that is GLP-1, and an immunosuppressive drug that is rapamycin.

Group V, 46, 47 and 49, in so far as they are drawn to a kit or pharmaceutical composition comprising an immunosuppressive drug that is rapamycin, a FACGINT that is GLP-1, and with or without a gastrin receptor ligand.

Group VI, claims 72-76, in so far as they are drawn to a method of reducing frequency of treating a diabetic subject by administering an I.N.T. composition.

Group VII, claims 87-89, in so far as they are drawn to a method of treating a diabetic subject by providing a device to the subject.

Group VIII, claim 104; drawn to a method of treating a diabetic subject comprising administering a gastrin/CCK receptor ligand that is gastrin-17, a FACGINT that is GLP-1, and an agent for immune suppression.

Group IX, claim 109, drawn to a method of treating diabetes comprising transplanting a pancreatic islet preparation into a diabetic patient and administering an effective dose of a gastrin/CCK receptor ligand that is gastrin-17 and a FACGINT that is GLP-1.

This application contains claims directed to more than one species of the generic invention. These species are deemed to lack unity of invention because they are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In order for more than one species to be examined, the appropriate additional examination fees must be paid. The species are as follows:

a) The 1st species of a gastrin/CCK receptor ligand is gastrin-17 and the 2nd to 3rd species are gastrin 1-17Leu15, or another specific gastrin.

The claims correspond to the species listed in the following manner:

Claims 25 correspond to the species of gastrin-17.

Claims 26 and 98 correspond to the species of gastrin 1-17Leu15.

The following claim(s) are generic: 1-24, 27-45, 48-62, 64-75, 87-89, 91-98, 101-104, and 108-110.

b) The 1s species of a FACGINT that is not an EGF receptor is GLP-1 and the 2nd to 29th species are exendin-4; a Growth Hormone; another Glucagon-like peptide 1 receptor ligand, a Glucagon-like peptide 2 receptor ligand; a gastric inhibitory polypeptide (GIP) receptor ligand; a keratinocyte growth factor (KGF) receptor ligand; a dipeptidyl peptidase IV inhibitor; a REG protein receptor ligand; a Growth Hormone receptor ligand; a prolactin receptor ligand; an Insulin-like Growth Factor (IGF) receptor ligand; PTH-related protein (PTHrP) receptor ligand; hepatocyte growth factor (HGF) receptor ligand, a bone morphogenetic protein (BMP) receptor ligand, a transforming growth factor- (TGF-) receptor ligand; a laminin receptor ligand; vasoactive intestinal peptide (VIP) receptor ligand; a fibroblast growth factor (FGF) receptor ligand; a keratinocyte growth factor receptor ligand; a nerve growth factor (NGF) receptor ligand; an islet neogenesis associated protein IINGAPI receptor ligand; an Activin-A receptor ligand; a vascular 20 endothelial growth factor (VEGF) receptor ligand; an erythropoietin (EPO) receptor ligand; a pituitary adenylate cyclase activating polypeptide (PACAP) receptor ligand, a granulocyte colony stimulating factor (G-CSF) receptor ligand; a granulocyte- macrophage colony stimulating factor (GM-CSF); a platelet-derived growth factor (PDGF) receptor ligand; and a Secretin receptor ligand.

The claims correspond to the species listed above in the following manner:

Claims 3, 91-92, 98, 101 and 104 correspond to the species of GLP-1.

BEST AVAILABLE COPY



Internation pplication No. PCT/US03733595

Claim 3, 91, 101 and 104 correspond to the species of exendin-4.

Claims 4, 93-94, 98, 102 and 104 correspond to the species of Growth Hormone.

Claims 96-98, 103-104, and 110 correspond to the species of prolactin receptor ligand.

Claims 2 and 52 correspond to multiple species listed above.

The following claim(s) are generic: 1, 5-75, 87-89, and 108-109.

c) The 1<sup>st</sup> species of a measuring parameter is <u>blood glucose</u> and the 2<sup>nd</sup> to 10<sup>th</sup> species are serum glucose, blood glycosylated hemoglobin, pancreatic cell mass, serum insulin, pancreatic insulin content, and morphometrically determined cell mass, amount of insulin secreting cells, glucose responsiveness of insulating secreting cells, amount of proliferation of islet precursor cells, and amount of mature insulin secreting cells.

The claims correspond to the species listed above in the following manner:

Claim 9 corresponds to the species of blood glucose.

Claims 10 and 12 correspond to multiple species listed above.

The following claim(s) are generic: none

d) The 1<sup>st</sup> species of an agent for suppressing immune response is <u>rapamycin</u> and the 2<sup>nd</sup> to 33<sup>rd</sup> species are a corticosteroid; an azathioprine; mycophenolate mofetil; a cyclosporine; a cyclophosphamide; a methotrexate; a 6-mercaptopurine; FK506, 15-deoxyspergualin; an FTY 720, a mitoxantrone, a 2-amino-1,3-propanediol; a 2-amino-2[2-(4- octylphenyl)ethyl]propane-1,3-diol hydrochloride; a 6-(3-dimethyl-aminopropionyl) forskolin; demethimmunomycin; hul 124, BTI- 322, allotrap-HLA-B270; OKT4A, Enlimomab; ABX-CBL; OKT3; ATGAM; basiliximab; daclizumab, thymoglobulin; ISAtx247, Medi-500; Medi-507; Alefacept, efalizumab; infliximab; or an interferon.

The claims correspond to the species listed above in the following manner:

Claims 34-38 and 44 correspond to multiple species listed above.

The following claim(s) are generic: 33, 39-43, 46-47, 49, and 104

e) The 1st species of implanted cell is pancreatic islets and the 2nd to 4th are umbilical chords, embryos, and stem cell lines.

The claims correspond to the species listed above in the following manner:

Claim 23 corresponds to each of the species listed above.

The following claim(s) are generic: 21

f) The 1st species of EGF receptor ligand is EGF and the 2nd is TGF.

The claims correspond to the species listed above in the following manner:

Claim 51 corresponds to each of the species listed above.

The following claim(s) are generic: 48, 50-75, and 87-89.

1. This International Searching Authority considers that the international application does not comply with the requirements of unity of invention (Rules 13.1, 13.2 and 13.3) for the reasons indicated below:

The inventions listed as Groups I-IX do not relate to a single general inventive concept under PCT Rule 12.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

Pursuant to 37 C.F.R. 1.475 (B-D), the ISA/US considers that where multiple products and processes are claimed, the main invention shall consist of the first invention of the category first mentioned in the claims and the first recited invention of each of the other categories related thereto.

Accordingly, the main invention (Group I) comprises the first method, a method for treating diabetes, and the first product using that method, a composition comprising a gastrin/CCK receptor ligand and a FACGINNT.

Group II does not share the same or corresponding technical feature because Group II is drawn to a different method that comprises different ex vivo method steps which are not coextensive and which do not share the same technical feature.

Group III does not share the same or corresponding technical feature because Group IV is drawn to a method for expanding and differentiating stem cells into insulin secreting cells in a diabetic recipient of implanted cell, which is a different method with steps and goals that are not coextensive and do not share the same technical feature.



Internation pplication No. PCT/US03/33595

Group IV does not share the same or corresponding technical feature because Group V is drawn to a method for reducing an amount of stem cells needed for transplant, which is a different method with steps and goals that are not coextensive and do not share the same technical feature.

Groups V and VI do not share the same or corresponding technical feature because each Group is drawn to a product which is a different combination of compounds than those of Group I and do not share the same technical feature. Each combination includes compounds which are not found in the other groups, and are structurally and functionally different chemical compounds. Each combination of compounds can be made and used without the other combinations, and thus do not relate to a single general invention concept within the meaning of PCT Rule 13.1.

Additionally, the composition of Group VI is formulated from unspecified components, and therefore encompasses combinations that comprise compounds that are structurally and functionally different chemicals from the compounds in the other combinations. Each combination of compounds can be made and used without the other combinations, and thus do not relate to a single general invention concept within the meaning of PCT Rule 13.1.

Group VII does not share the same or corresponding technical feature because Group VII is drawn to a method of reducing frequency of treating a diabetic subject by preparing a device for administering an I.N.T. composition, which is a different method with goals and method steps that do not share the same technical feature. Group VII requires a device for delivering a composition. The composition of Group VII is formulated from unspecified components, and therefore encompasses combinations that comprise compounds that are structurally and functionally different chemicals from the compounds in the other combinations. The device for delivering a composition of compounds can be made and used without the other combinations of the other groups, and thus does not relate to a single general invention concept within the meaning of PCT Rule 13.1.

Group VIII does not share the same or corresponding technical feature because it is drawn to a method with a goal similar to that of Group I but which includes a combination of compounds that are not coextensive with the combination of compounds of Group I and do not share the same technical feature.

Group IX does not share the same or corresponding technical feature because it is drawn to a different method with steps that are not coextensive and do not share the same technical feature.

The Authority therefore considers that the seven inventions do not share a special technical feature within the meaning of PCT Rule 13.2 and thus do not relate to a single general inventive concept within the meaning of PCT Rule 13.1

The species of gastrin/CCK receptor ligands, the species of FACGINTs, the species of agents for suppressing immune response, the species of implanted cells, and the species of EGF receptor ligands listed above do not relate to a single general inventive concept under PCT Rule 13.1 because under PCT Rule 13.2, the species lack the same or corresponding special technical features for the following reasons: each species is drawn to a compound or a cell which is a structurally and functionally different chemical compound or cell from the other species in the respective genus, each of which can be made, or isolated, and used without the other compounds or cells, and thus do not relate to a single general invention concept within the meaning of PCT Rule 13.1.

The species of <u>measuring parameters</u> listed above do not relate to a single general inventive concept under PCT Rule 13.1 because under PCT Rule 13.2, the species lack the same or corresponding special technical features for the following reasons: each species is drawn to a variation in a method step, and each variation requires use of different compounds and different techniques which are not co-extensive, and thus do not relate to a single general invention concept within the meaning of PCT Rule 13.1.